

AML Policy

May 2019



PURE DMA TRADING
GUARANTEED
STP EXECUTION



Tier1FX (Bermuda) Limited

Prevention of Money Laundering Policy

T1 complies with the provisions of the Proceeds of Crime (Anti-Money Laundering (AML) and Anti-Terrorist Financing) Regulations of 2008, the anti-money laundering framework set forth by the Financial Action Task Force (FATF) and regulations made thereunder.

In adherence to these regulations, the AML guidance notes are issued to the employees of Tier1FX in company's AML Procedures which form an integral part of the company's Policy. Tier1FX commits to perform detailed KYC and back-ground checks on potential clients and reserves the right to refuse the acceptance of a client or the processing a transaction at any stage where it believes the client or the transaction to be connected in any way to money laundering or criminal activity.

As part of AML policy, Tier1FX does NOT:

- Accept Third Party Payments
- Accept clients coming from Iran or North Korea

You, the Client, hereby confirm that none of your funds was directly or indirectly derived from, or otherwise related to any legal act or omission, nor are they product of any criminal activity which constitutes a predicate offence under any international, subnational or local Anti-Money Laundering and Countering of Terrorism legislation.

You also agree to provide us with true, correct and complete information, which we may reasonably require to comply with our legal obligations.

Unless you have indicated on your Account Opening Form, you warrant to T1 that you are not a "PEP" (Politically Exposed Person) nor are you an immediate family member of a Politically Exposed Person or a close associate of a Politically Exposed Person. You agree to notify us if your status in this regard changes.

If we have a suspicion that you have violated the regulations, we are required to notify the proper authorities. Among other steps that might be taken, we could be required to block your funds. If requested, you agree to provide us with documents and/or other information we require to ensure our continued compliance with all applicable rules, regulations and laws. Should there be material updates, corrections or changes to the information you provide to us in connection with opening and maintaining your account, you agree to notify us immediately of such changes. If you are in doubt as to whether a change is material, you agree to contact us for clarification.

If you wish to understand more fully the AML process Tier1FX follows in assessing client accounts for acceptance, please contact support@tier1fx.bm with your questions.

Risk Disclaimer: Trading in any market carries risk, and trading foreign exchange ("FX"), futures, options, contract for differences (CFDs) and precious metals involve a substantial risk of loss that may not be suitable for you. Leverage or "gearing" creates enhanced risk and loss exposure. If you decide to trade in these markets we ask that you carefully consider your trading objectives, experience, and risk appetite. Even though risk can be managed, it cannot be eliminated and losses can quickly compound and exceed your initial deposit. You are liable for all losses and debits in your account.